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February 26, 2015

Debbie Cloud, Executive Director

St. Francis Foundation

2323 De la Vina Street, #204

Santa Barbara, CA 93105

Dear Ms. Cloud,

Thank you again for the generous support from the St. Francis Foundation. We are pleased to report on the progress of our programs that was made possible by this grant, and are respectfully requesting consideration for funding again for fiscal year 2015-2016.

*Outreach to community organizations that work directly with the homeless, veteran, and Latino populations to expand our impact. Since the beginning of the fiscal year, we delivered presentations to the following nine organizations, most of whom work closely with the above referenced populations: Casa Esperanza, Santa Barbara Neighborhood Clinics (physicians), California Children's Services (for transitional aged youth), Adult and Aging Network, Hotel de Riviera, Restorative Courts, Doctors without Walls, State of California Department of Rehabilitation (local office) and the Faulding Hotel. We additionally provided tours of the Jodi House facility for staff from Santa Barbara City Housing Authority, Sanctuary Psychiatric Centers, County Health Care Services, and the Hosford Clinic from UCSB; and participated at health fairs at several community venues. As a consequence of this outreach, we are serving more homeless individuals with brain injuries, and have been able to provide them with additional case management and social-emotional support through our day program to help move them towards self-sufficiency.*

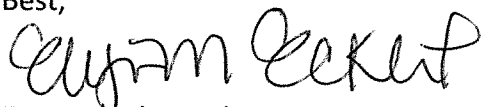
*Administer Quality of Life after Brain Injury Questionnaire (QOLIBRI) to each member and the Mayo Portland Adaptability Inventory to caregivers twice per year and collect survey results for future curriculum planning. We administered the QOLIBRI to 29 members on two separate occasions over the past fiscal year... Results showed that 90% of members surveyed reported overall improvement in their quality of life, 6-9 months after enrolling in Jodi House's program, with the greatest*

improvements in physical, emotional, and cognitive function, as well as their views of themselves. Members reported lower improvements in social and independent functioning. We are addressing these areas in our new program model, in which we have added a specific case management component, as well as independent living skills classes. Our half-time social work intern is working with members to provide them with support and guidance regarding their interpersonal relationships, and referral to additional appropriate community resources. We have added weekly independent living skills classes to our day program calendar, including budget planning, social skills, and healthy cooking, to support our members in moving towards successful community integration. We have not been able to get enough Mayo Portland follow-up surveys completed on caregivers to make any generalizations about their results, due to some difficulty in reaching the caregivers.

*Have at least 6 graduates from the Immersion Services Program by the end of its first year.* We ended up with 5 program graduates as of summer, 2014. This program, a contract and collaboration between Jodi House and the State of California, Department of Rehabilitation (DOR), is the first of its kind in the state, and Jodi House is proud to be a leader in supporting brain injury survivors to successfully returning to the workforce. Referrals from the DOR have been slower for this program than we anticipated however given our small staff size, we are happy to be able to provide individualized attention to those who have participated. Of the program graduates, two have obtained permanent jobs, one is working various temporary positions, and two are involved with regular volunteer work. We currently have two additional participants in the program at the present time, one of whom will be graduating within the next month or so. We plan to continue to actively providing outreach to community organizations regarding this program, in the hopes of growing program numbers over time.

We cannot thank the St. Francis Foundation enough for your support of our programs in this past year, and thank you so much for your consideration regarding this request.

Best,

A handwritten signature in black ink, appearing to read "Eryn M. Eckert". The signature is fluid and cursive, with the first name "Eryn" being more prominent than the last name "Eckert".

Eryn M. Eckert, Ph.D.  
Executive Director

# The Foundation Roundtable: Common Grant Application

## Cover Sheet

Foundation you are applying to: St. Francis Foundation of Santa Barbara  
Legal Name of Applicant Organization: Jodi House, Inc.  
Project Name (if applicable): Enhanced Brain Injury Support Program  
Funds will pay for: Staff and professional program contractor's time to implement program  
Full Mailing Address: 625 Chapala St. Santa Barbara, CA 93101  
Location(s) if different from above: \_\_\_\_\_  
Executive Director: Eryn Eckert, PhD  
Phone: (805) 563-2882 ext. 6 Fax: (805) 563-3982  
Email: eryn@jodihouse.org Website: www.jodihouse.org  
Contact Person & Title: Eryn Eckert, ED Phone: (805)563-2882 ext. 4

Tax-exempt Status:(Most foundations require 501(c)(3) status. You must check this requirement before applying.)

501(c)(3) Granted ☒ Tax I.D. Number: 95-3836137 Other: \_\_\_\_\_

**Type of Request:** *Check with individual foundations to determine the types of accepted grant requests.*

General Support ☒ Program Support ☐ Seed Funding ☐ Research ☐

Capital ☐ Endowment ☐ Multi-Year ☐ Other: \_\_\_\_\_

This Grant Request: \$ 10,000 Total Project Budget: \$ 300,000

Grant Period from: July 1, 2015 To: June 30, 2016

Total Organizational Budget for Current Year: \$ 283,656,40 Fiscal year begins: July 1

Summarize the organization's mission statement (two to three sentences):

Jodi House empowers brain injury survivors to not merely survive, but thrive.

Summarize your grant request (two to three sentences):

We are requesting funding for our Enhanced Brain Injury Support Program that provides services to brain injury survivors who have been discharged from acute care and/or inpatient rehabilitation, and to homeless adults with brain injuries.

### **Proposal Authorization**

We certify that the information in this application is to the best of our knowledge true and accurate and is submitted with our Board of Directors'/Governing Body's full knowledge and endorsement:

Eryn M Eckert Eryn M Eckert, Executive Director 2/26/15  
Signature Name & Title of Authorized Board/Governing Body Representative Date

Gayle Cummings Gayle Cummings, Co-President 2/26/15  
Signature Name & Title of Authorized Board/Governing Body Representative Date

## **Organization Background**

### **History and Accomplishments**

Jodi Wustman was hit by a drunk driver in 1982 when she was 19 years old. After her family was unable to find suitable resources for adult brain injury survivors in Santa Barbara County, they got together with families of other brain injury and stroke survivors to start a weekly support group. As the group continued to grow, they began adding more programs and in 1994, Jodi House gained a physical location in Santa Barbara. In 2010, we moved to our current location in the heart of downtown Santa Barbara. Since then, we have continued to expand our services to provide a greater variety of activities, support groups and outreach to the community. In 2006, we opened a weekly program in Buellton in order to increase our impact in the county. In the past 33 years, Jodi House has developed as a model resource for survivors of traumatic brain injury (TBI), stroke, aneurysm and tumor. In 2013, we expanded our services through the establishment of a contract with the California Department of Rehabilitation (DOR). Jodi House is the only organization statewide offering a pre-vocational program (in conjunction with the DOR) for brain injury survivors wishing to re-enter the workforce, and is the only non-profit organization countywide exclusively providing services to adults with brain injuries. We are currently providing services to 132 active members.

### **Programs, Activities and Constituency**

Jodi House's day program is a supportive, community-based program, consisting of structured activities that facilitate community reintegration. Groups that promote social and emotional support, activities that enhance speech and communication skills, and movement and nutrition classes are offered at both the Santa Barbara and Buellton locations. Participants of our pre-vocational program work through independent assignments, one-on-one assistance, and community outings to complete the ten competencies which teach them the skills needed to gain meaningful employment or volunteer work. Our Resource & Referral Program serves family members and caregivers of Jodi House members, and the community at-large to provide information and support regarding acquired brain injury and TBI, and referrals to various agencies that provide mental wellness, continued medical care and rehabilitation, vocational resources, social services and education programs.

Jodi House members range from 20-90 years old, with an average age of 55. 51% of members survived a traumatic brain injury, 38% are stroke survivors, and the other 11% are survivors of aneurysm, tumor, or hydrocephalus. Two thirds of our members are unemployed, and three quarters earn less than \$20,000 in income (most rely solely on SSI or SSDI).

### **Organization Relationships**

Jodi House formally collaborates with the California Department of Rehabilitation through our pre-vocational program. In addition, we have close relationships with a variety of organizations in the community including, but not limited to Casa Esperanza, Coast Caregiver Resource Center, Independent Living Resource Center, Santa Barbara Street Medicine, Solutions, and Cottage Rehabilitation Hospital. We frequently refer our members to their services and are referred members in return. These relationships allow us to best serve brain injury survivors in our area.

## **Funding Request**

### **Problem our Project Addresses**

In the United States, approximately 1.7 million people experience a traumatic brain injury and 800,000 experience a stroke every year. Jodi House completes the spectrum of care that begins when a stroke or traumatic brain injury survivor enters Cottage, Marian, or Lompoc Hospital for emergency critical care. They then move to Cottage Rehabilitation Hospital, whom we work closely with, and lastly to Jodi House where we ensure that survivors are able to successfully adapt back into their communities. Jodi House is the only non-profit organization in Santa Barbara County exclusively providing services to adults with brain injuries.

By providing a supportive environment with staff that is trained to work with brain injury survivors, we are ensuring that these survivors learn to live with their disability and continue to hold their health as a personal priority. By supporting, educating and assisting brain injury survivors, Jodi House is not only improving the quality of life of each survivor but also improving our community by preventing these survivors from experiencing a variety of negative outcomes that frequently follow brain injuries.

### **Goals and Outcomes**

We are requesting funding for our enhanced Brain Injury Support program, to focus on two specific populations:

- Recent brain injury survivors who have just been discharged from acute care and/or inpatient rehabilitation, and
- Homeless adults with brain injuries (either injured recently or longer ago) in need of support in securing housing and employment.

Our three performance targets are as follows:

- 40 individuals will participate in our Brain Injury Support program; of these, 25 will “graduate” from the program, successfully achieving the goals set at intake.
- 10 members will enroll in the Vocational Supported Services portion of our Brain Injury Support program; of those, 5 will graduate and obtain paid employment or volunteer work within 12 months. We will do follow up calls with graduates to determine their employment status 6 and 12 months after they complete the VSS program.
- 10 homeless individuals will participate in our new program; of these, 5 will secure housing as a result of the counseling, advocacy, and case management they received at Jodi House.

### **Project Description**

The purpose of our expanded Brain Injury Support program is to support the post-discharge recovery of brain injury survivors. Jodi House is the only non-profit organization in Santa Barbara County exclusively providing services to adults with acquired and traumatic brain injuries. There are an estimated 25,000 individuals in our county either living with, or caring for someone with a brain injury. This equates to roughly six percent of the county population affected by brain injury. Survivors are at an increased risk of suicide, major depressive disorder and substance abuse and are 400 times more likely to become homeless than a person who has not experienced a brain injury. Our programs work to decrease the number of survivors that experience these problems; completing the spectrum of care that begins when they enter Cottage, Marian, or Lompoc Hospital for critical care. Subsequently they receive inpatient rehabilitation, and lastly come to Jodi House where we ensure that they are able

## Jodi House Proposal to the St. Francis Foundation 2015

to successfully adapt back into their communities. Additionally, we are now actively working with the homeless population to identify those that have brain injuries. We will be providing intensive case management that would otherwise be not available to these individuals to address their needs based upon the brain injuries as well as to support them in finding housing and employment.

Our program is evidence-based and research-supported. It is comprised of: Community Integration with support groups, independent living skills, and physical and cognitive rehabilitation classes; Supported Living Services, where staff develop an individual service plan with each brain injured survivor and schedule home visits to assess home safety issues; and Vocational Supported Services (VSS), where participants work through a curriculum to gain the skills needed to obtain employment or volunteer positions. We have a social work (MSW) intern working 20 hours weekly providing individual case management, under the supervision of a Licensed Clinical Social Worker. Ideally in a year or less members move through our program and “graduate” after successfully meeting the goals set at intake. The goal with all members is community reintegration, returning to functioning at their highest possible level with jobs, for those who are able to work, and stable housing. Our program is available on an ongoing and long-term basis for those with more severe brain injuries, for whom graduation is not feasible.

An additional component of our enhanced program is our staff having regular hours at Casa Esperanza and other local homeless shelters to identify homeless individuals with brain injuries. We wish to focus on providing services to this population so that they are able to receive specialized support for their injuries, and more intensive case management to assist them in securing housing and employment. Even though the incidence of brain injuries is substantially higher among the homeless population, these individuals are currently not receiving any specialized support. We are in the formative stages of partnering with Santa Barbara City Housing Authority in order to help their staff identify and provide services to their residents to support them in maintaining housing.

### **Most Pressing Needs**

Our most pressing needs revolve around the day-to-day function of Jodi House. Staff is our most precious resource, with only three full time employees and one part time staff member, we try to provide as much individualized attention as possible, while utilizing volunteers and interns for support. In addition, we are always in need of everyday supplies such as paper towels, toilet paper, soap, etc. which are necessary for Jodi House to continue to be open to our members and their loved ones.

### **Evaluation of Impact**

We evaluate our program using the Quality of Life After Brain Injury Scale (QOLIBRI). Most recent evaluation results for our members 9-12 months after enrolling at Jodi House showed improvement in all categories, with the greatest improvements shown in Emotional Functioning, Physical Problems, View of Self, and Cognitive Function. We also track the number of graduates obtaining housing and employment 6 and 12 months post-program completion, as well as the number of members graduating from our program after meeting the goals set at intake.

Survivors regularly evaluate each class that we offer and our staff uses those results to introduce new classes and continue those that have the most impact. Additionally, once monthly we hold an open session with our Executive Director where members are free to provide suggestions and concerns regarding the program. Finally, many of the survivors that attend Jodi House offer hours of volunteer

## Jodi House Proposal to the St. Francis Foundation 2015

work when they are physically and cognitively able. They assist in easing the transition with new members into the day program, with grounds maintenance, caring for the fish, and outreach presentations in the community.

### **Staff Skills and Experience**

Our Executive Director, Eryn Eckert, has worked at Jodi House for just under two years. She has worked in non-profit administration for 11 years, and with cognitively impaired adults for 15 years and has a doctoral degree in research psychology. Other staff bring experience in psychology, healthcare, and teaching. Program contractors and volunteers include licensed speech and occupational therapists, a functional neurologist, an MFT art therapist, and trained yoga instructors. Our supportive Board of Directors includes the Director of Trauma at Cottage Hospital, an experienced clinical Psychologist, DPH candidate, and many fundraising and finance professionals. Our relationships with high schools and universities in the area have formed a strong volunteer and internship base for the organization. We are grateful for the many bright students that volunteer from Westmont College, UC Santa Barbara, Santa Barbara City College, and local high schools. Finally, an increasing number of community leaders and health care professionals are supporting Jodi House's mission and programs. Our Professional Advisory Board includes some of Santa Barbara's top neurologists, neurosurgeons, trauma surgeons and nursing and rehabilitation professionals. The combination of these backgrounds and skills provides Jodi House with the skills necessary to successfully implement and maintain vital resources and services to those affected by brain injury.

### **Contingency Plan**

In addition to the St. Francis Foundation, Jodi House is seeking funding from the Hutton Parker Foundation, the Williams-Corbett Foundation, and the Towbes Foundation. Additionally, Jodi House will be applying to become one of the state's seven sites that receive funding for providing services to adults with traumatic brain injuries through the California Department of Rehabilitation. Our development team continues to work on an ongoing basis to cultivate new donors and move annual donors to the major donor level.

### **Member Spotlight**

After suffering a stroke in October of 2013, David was left without a place to live or support in his recovery. He found temporary shelter at Casa Esperanza in July of 2014 and was referred to Jodi House shortly after. With the support of Jodi House staff and fellow members, David focused on his recovery and began to truly understand what had happened to him as a result of his brain injury. On top of being a stroke survivor, David also suffered from diabetes. Jodi House staff taught David how to manage his diabetes through appropriate food choices and beneficial exercise techniques. After a few months at Jodi House, David found himself homeless again. He was unable to properly care for himself, and Jodi House staff became concerned that he may not survive much longer if left out on the street. They reached out to his only remaining relative, his grandmother, who lived in Florida. After reassurance from the staff that David was focused on recovery and could benefit from time spent with family, she agreed to have him come live with her in January of this year. Since that time, Jodi House staff has made regular follow up calls to track his progress. According to both of David and his grandmother, everything has been going well. Without Jodi House's involvement, he might not be where he is today.

## Board of Directors/Governing Body

[illegible]

How often does your governing body meet?



## The Foundation Roundtable: Common Grant Application

### Project Budget

**Note:** Check with each foundation to see if this form is required.

Organization Name: Jodi House Brain Injury Support Center

Name of Project (if different): Enhanced Brain Injury Support

Budget dates for grant period: July 1, 2015 - June 30, 2016

**NOTE - Do not use commas as thousand separators in any of the numerical fields**

#### **INCOME**

*Possible categories: Government grants, foundation grants, individuals, business support, events, fees for service, etc.*

Source	Total Project (\$)	Pending (\$)	Secured (\$)	Notes
Direct Contributions	100000	100000		
Public and Private Grants	170000	158437	11563	Includes DOR income
Interest and Dividend Income	1000	1000		
Special Event Income	12000	12000		Hike for Brain Injury
St. Francis Foundation	10000	10000		
Program Income	7000	7000		
<b>TOTAL INCOME</b>	300000	288437	11563	

List the In-Kind (non-cash) contributions: Donated goods and services

#### **EXPENSES**

*Possible categories: Salaries, professional fees, rent and utilities, travel, publicity/outreach, events, capital items, etc.*

Item	Total Project (\$)	This Request (\$)	Notes
Salaries and Wage	210000	6000	Staff time: leading activities, case mgmt
Contract Services	8000		
Operations	12000		
Facilities and Equip	10000		
Travel and Meeting	3000		
Program Expenses	30000	4000	Contractors to lead workshops, supplies
Other Expenses	15000		
Business Expenses	3000		
Event Expense	4000		
Development	5000		
<b>TOTAL EXPENSES</b>	300000	10000	

# The Foundation Roundtable: Common Grant Application

## Organization Financial Summary

**Note:** Check with each foundation to see if this form is required.

Organization Name: Jodi House Fiscal Year Dates: July 1-June 30

### **INCOME**

*Possible categories: Government grants, foundation grants, individuals, business support, events, fees for service, etc.*

Source	Prior Year's Actual	Projected Annual Budget (\$)	YTD Actual (\$) as of [ 2/18/15 ]
Direct Contribution	117860.92	90868.08	110109.54
Donated Goods and Services			725
Revenue from Grants	151275	185223.32	138950
Interest and Dividend Income	1484.47	565	306.15
Program Income	900		1575
Capital Campaign Pledges	-7525		27375
Special Event Income	6091.50	7000	2620
Restricted Income			2100
<b>TOTAL INCOME</b>	270086.89	283656.4	283760.69

List the In-Kind (non-cash) contributions: \_\_\_\_\_

### **EXPENSES**

*Possible categories: Salaries, professional fees, rent and utilities, travel, publicity/outreach, events, etc.*

Item	Prior Year's Actual	Annual Budget (\$)	YTD Actual (\$) as of [ 2/18/15 ]
Salaries and Wages	192330.46	206236.76	119657.57
Contract Services	11272.94	7992	8399.07
Operations	9182.31	10448	8884.54
Facilities and Equipment	8867.64	9285	6231.04
Travel and Meetings	2123.78	1750	663.7
Program Expenses	22362.25	22850	14617.25
Other Expenses	16368.68	14480.64	10922.65
Event Expenses	359.73	2000	1014.88
Development	5400.49	5640	6603.01
Business Expenses	2768.44	2974	143.61
<b>TOTAL EXPENSE</b>	271036.72	283656.4	177137.32
<b>NET PROFIT OR LOSS</b>	-949.83		106623.37

Total Capital Expenses			
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*i.e., computers, vehicles, building improvements, etc.:*

**Notes:**

# The Foundation Roundtable: Common Grant Application

## Organization Balance Sheet Summary

**Note:** Check with each foundation to see if this form is required.

<b>ASSETS</b>	<b>MOST CURRENT (\$)</b> as of [ 2/18/15 ]	<b>PRIOR YEAR CLOSE (\$)</b>
<b>Current Assets</b>		
Cash and Equivalents	229479.57	194170.13
Accounts Receivable	1150	
Prepaid Expenses	383.08	306.08
Inventory		
Grants/Pledges Receivable	56419	56000
Other		
<b>Fixed Assets (Net)</b>		
Property	1500000	1500000
Buildings	-51872.83	-16404.71
Equipment	5968.22	5968.22
<b>Investments</b>		
Endowments		
Other		
<b>TOTAL ASSETS</b>	<b>1741527.04</b>	<b>1740039.72</b>
<b>LIABILITIES</b>		
<b>Current Liabilities</b>		
Accounts Payable	3988.13	5562.95
Accrued Expenses		
Long Term Debt (Current Portion)		
Short Term Debt		
Other		
<b>Long Term Debt (over a year)</b>		
Loan		75000
Other		
<b>TOTAL LIABILITIES</b>	<b>3988.13</b>	<b>80562.95</b>
<b>Net Assets</b>		
Unrestricted	1497299.5	1601017.25
Temporarily Restricted	240239.41	58459.52
Permanently Restricted		
<b>TOTAL LIABILITIES AND NET ASSETS</b>	<b>1741527.04</b>	<b>1740039.72</b>



Department of the Treasury  
Internal Revenue Service

OGDEN UT 84201-0038

In reply refer to: 0441679376  
Aug. 02, 2010 LTR 4168C E0  
95-3836137 000000 00

00031067  
BODC: NOBOD

JODI HOUSE INC  
% KENNETH FREELAND  
625 CHAPALA ST  
SANTA BARBARA CA 93101

032223

Employer Identification Number: 95-3836137  
Person to Contact: C Winn  
Toll Free Telephone Number: 1-877-829-5500

Dear Taxpayer:

This is in response to your July 22, 2010, request for information regarding your tax-exempt status.

Our records indicate that your organization was recognized as exempt under section 501(c)(3) of the Internal Revenue Code in a determination letter issued in March 1983.

Our records also indicate that you are not a private foundation within the meaning of section 509(a) of the Code because you are described in section(s) 509(a)(1) and 170(b)(1)(A)(vi).

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

If you have any questions, please call us at the telephone number shown in the heading of this letter.

Sincerely yours,

A handwritten signature in cursive script that reads "Rita A. Leete".

Rita A. Leete  
Accounts Management II