

Cover Sheet- (One Page Maximum)

Funder you are applying to: St. Francis Foundation

Legal Name of Applicant Organization: Alexander House Foundation

Contact Person & Title: Mary Scaran, Administrator

Phone: 805-966-3665 Fax: 805-898-1404 Contact Person's Email: mts10@peoplepc.com

Program Name/Capital Request: Financial Assistance for Low-Income Seniors

Funds will pay for: Grants to seniors for medical expenses

Full Mailing Address: P.O. Box 23642, Santa Barbara, CA 93121

Location(s) if different from above: _____

Executive Director: Mary Scaran Email: mts10@peoplepc.com

Fax: 805-898-1404 Phone: 805-966-3665

Website: N/A

Tax-exempt Status: {Most funders require 501(c)(3) status. Check this requirement before applying}

501(c)(3) Granted Other: _____ Tax ID Number: 95-1190502

Type of Request: Check with individual funders to determine the types of accepted grant requests.

Note: Check all that apply.

- | | | | |
|--|---|---------------------------------------|--|
| <input type="checkbox"/> General Support | <input checked="" type="checkbox"/> Program Support | <input type="checkbox"/> Seed Funding | <input type="checkbox"/> Research |
| <input type="checkbox"/> Capital | <input type="checkbox"/> Endowment | <input type="checkbox"/> Multi-Year | <input type="checkbox"/> Collaborative |

This Grant Request: \$5,000 Of Total Budget: \$60,000

Funds will be used from: 07/01/2012 To: 06/30/2013

Total Organizational Budget Current Year: \$324,246 Agency fiscal year begins: July 1


Summarize the organization's mission statement (two to three sentences):


The mission of the Alexander House Foundation is to help financially distressed senior citizens in the Santa Barbara area maintain their health, homes, and independence by providing financial assistance. We offer ongoing monthly rental subsidies as well as special grants for essential items such as insurance premiums, dentistry, utilities, past-due rent, home repairs, and moving expenses.

Summarize your grant request (two to three sentences):

We are requesting \$5,000 to support our Special Grants Program for low-income seniors. Any funding received from the St. Francis Foundation would be used exclusively to cover medical costs.

Proposal Authorization: We certify that the information in this application is to the best of our knowledge true and accurate and is submitted with our Board of Directors'/Governing Body's full knowledge and endorsement:

Robert Renaud, President		10/30/12
Name of Authorized Board/Governing Body Representative	Title	Signature Date

Mary Scaran, Administrator		10/30/12
Name of Lead Staff Member (or 2 nd Representative)	Title	Signature Date

1. Your organization's history and accomplishments.

Mission and Recent Accomplishments

Alexander House Foundation is a non-profit organization founded in 1939 which provides financial assistance to low-income senior citizens living in Santa Barbara (from Carpinteria to Isla Vista). Our mission is to help financially distressed senior citizens maintain their health, homes, and independence by providing financial assistance. We offer ongoing monthly rental subsidies as well as special grants for essential items such as medical care, dental work, utility bills, past-due rent, home repairs, insurance premiums, and moving expenses. Last year, we provided \$225,832 in assistance to 38 seniors.

History of Alexander House Foundation

In the mid-1930s, a group of concerned women formed the Santa Barbara Association for Old Age Care with the mission of assisting elderly people who found themselves struggling financially, but who did not qualify for governmental assistance. The organization soon opened Alexander House (which was donated by a community member) in order to provide an affordable place to live for 10 low-income seniors at any one time. The original board of the organization raised a sizable endowment fund to ensure the continued operation of Alexander House. Over the years, the house was expanded significantly, ultimately accommodating 29 seniors. In the 1980s, the board found that increasing insurance premiums and building maintenance costs were making it impossible to continue to run Alexander House without drawing funds from the principal of the endowment. In 1984, Alexander House was sold, and the proceeds from the sale were added to the existing endowment fund. The name of the organization was officially changed to Alexander House Foundation, and proceeds from the endowment fund now serve to assist seniors in remaining in their own homes.

2. Your current programs and activities. Include the constituency you serve ...

Alexander House Foundation provides financial assistance in two ways:

- **Monthly Rental Subsidies:** We provide these subsidies for seniors who cannot afford the full cost of their monthly rent. For seniors on a fixed income, rent for an apartment in our area can be prohibitive, so we provide monthly subsidies of up to \$700 for as long as the person needs it. Larger amounts are approved on a case by case basis.
- **Special grants:** We provide one-time special grants for necessities such as utility bills; dental or medical expenses; home repairs; medical, home, or car insurance premiums; moving expenses; or other needs.

Recipients of our grants and subsidies must be 65 years of age or older, have been living in the Santa Barbara area (from Carpinteria to Isla Vista) for at least the last 10 years, and be low-income (living month to month on a fixed income with no significant savings or other resources aside from Social Security). Most of our clients bring in an average of \$1,200 per month or less, with the cost of rent frequently taking up the majority of that income. Most of our recipients have been turned away for help by other organizations or County Social Services, and have no family to help them. Our assistance can make the difference between having a place to live and being homeless.

3. Your organization's relationships with other organizations working to meet the same need.

The St. Cecilia Society also covers one-time medical and dental costs for low-income people (not just seniors), but not ongoing housing or other expenses. The Jefferson Foundation provides a similar service in terms of one-time grants for special needs, but they do not also provide ongoing monthly rental subsidies as we do. Through County Social Services, the Multipurpose Senior Services Program does provide some assistance, but they frequently refer clients to Alexander House Foundation when they cannot provide the needed assistance. Other referrals come from the City and County of Santa Barbara Housing Authorities, Visiting Nurse and Hospice Care, or the Family Service Agency. Seniors may also be self referred, and frequently learn of our services through the Senior Resource Directory.

Funding Request:

1. What need or problem does your project work to address?

Considering the current economy and the continually rising cost of living—especially for housing, insurance, and medical expenses—the need for financial assistance for local seniors has increased tremendously. For example, in 2007, our distributions totaled \$138,943. Subsequently, totals have been as follows: 2008: \$145,762; 2009: \$152,172; 2010: \$199,132; and 2011: \$225,832. We anticipate that the need for the current year is going to be as great as last year. Each year, we have to turn away a significant number of people who request assistance from us. For example, last fiscal year, we turned away at least seven people. Because these seniors are low-income and have exhausted all of their resources, they are unable to handle any unexpected expenses—including medical expenses. A grant from the St. Francis Foundation would help us to meet these needs in the next year.

2. In a short paragraph, tell us your project's (or organization's) goals and outcomes...

Our overall goal is to help low-income seniors in the Santa Barbara area maintain their health, homes, and, perhaps most importantly, their independence. With a fully funded budget we would provide 90-100 grants (including medical grants) to 40 seniors through our Special Grants Program.

3. Describe your project or the capital items requested...

We are requesting a \$5,000 grant from the St. Francis Foundation to cover medical costs for low-income seniors through our Special Grants Program. On average, our organization serves a total of about 40 seniors per year, and we bring on three or four new recipients each year. With a fully funded budget, we would be able to double the number of people served. Below is information about both our Special Grants Program and Rental Subsidy Assistance Program.

How Our Programs Work

After a phone conversation to assure that the person meets our basic income and residency requirements, we schedule a home visit to conduct an in-depth interview and review of financial statements and budgets. This information is then presented at a monthly board meeting to the Subsidy and Grant Committee where the proposal is approved or denied.

Rental Subsidies

For rental subsidies, once a client is approved, he or she may receive ongoing support for the rest of his or her life. Over the years, as the client's rent increases, so will the subsidy. For example, one of our long-term clients (now 93 years old) started with a \$200/month subsidy 22 years ago and is currently receiving \$794. While we have a stated maximum of \$700/month for rental subsidies, we will go beyond that amount in certain cases. In the last fiscal year, we provided \$200,862 in rental subsidies to 31 individuals. Fourteen of these clients also received a special grant last year.

Special Grants

For special grants, clients' needs are considered on a case by case basis, with some people receiving help only once and others receiving assistance a number of times for different needs. In the 2011-12 fiscal year, we provided \$24,970 to 21 recipients. Below is a description of what our Special Grants Program helps to pay for, including medical costs:

- Medical Expenses

Low-income seniors can have difficulty paying medical expenses, and will sometimes end up with unpaid doctor or hospital bills that we can help with. Some seniors are so reluctant to go into debt that they will not access medical care when they need it if they cannot afford it. Our program helps seniors get the care they need and avoid going into debt. For example, we recently helped a 76-year-old man who had a severe neck problem which caused his head to be tilted to one side. We paid his co-payments to see a chiropractor while Medicare covered the balance. He had a quick and dramatic recovery that improved his quality of life significantly. We also recently paid for a breast thermography for a 70-year-old woman whose insurance did

not cover it. We pay for other medically-related items such as hearing aids and eyeglasses. In the last year we provided a hearing aid (which costs approximately \$1,200) to a 92-year-old who lives at Wood Glen Hall. She had become depressed because she was having trouble hearing other people where she lives. She said that having the hearing aid opened up her world again, allowing her to socialize and feel less isolated. We also recently paid for someone to purchase specialized eyeglasses that required an expensive lens that she could not afford on her own.

- Dental Work

Dental work can cost \$3,000-\$6,000 for extensive work. Unfortunately, this is also the type of request that we deny most frequently due to the high cost. Dental care is unaffordable for someone on a low fixed income, so many seniors have no option but to live in severe pain for years at a time. Because it is painful to eat, seniors will frequently become malnourished. They are also at-risk for other health problems, such as cardiovascular disease. The emotional impact of deferred dental care can be severe as well. For example, one of our recent clients had several missing front teeth that she couldn't afford to have replaced. This caused her so much stress and embarrassment that she became self-conscious about being in public, and became socially isolated as a result.

- Home Repairs

We sometimes assist seniors with home maintenance and repairs. Recently, one of our 92-year-old clients needed a handrail installed on the steps to her mobile home. This project only cost about \$100, but it will prevent her from falling and possibly seriously injuring herself. Last year, we helped an 80-year-old client who owned a mobile home but could not afford to maintain it. For several years she had a number of holes in her bedroom ceiling, so when it rained she collected the leaking rainwater in buckets. Then she had to carry the buckets to the bathroom and empty them into the bathtub for the duration of the rainstorm. This is not a dignified way for an elderly person to live, and so we were pleased to offer a \$2,700 grant to fix her roof. Unfortunately, there are many other seniors who call asking for help with this type of repair whom we cannot assist because the cost of the repair is often greater than our funding allows.

- Utility Bills

Utility bill payment is another common request we receive. Not being able to pay a utility bill can be frightening and unhealthy for elderly people, especially in the winter when heating is so important. Seniors can become extremely distressed when they cannot afford to pay their utility bills, as they worry that it could lead to eviction.

- Past Due Rent

Similar to utility bills, not being able to pay rent can be a significant source of stress for our clients. Our special grants help seniors catch up on past due rent, and ensure that they have a place to live.

- Bank Loan Repayment

Low-income seniors sometimes have bank loans (or lines of credit serving as overdraft protection) that they have no hope of paying off without help. We recently assisted an elderly man by paying of a loan of several thousand dollars that he said had been weighing him down emotionally for years. We then worked with the client to ensure that he does not end up in such a position of debt again.

- Car Repairs and Insurance

Having a vehicle is one way in which seniors can remain independent. By assisting with these expenses, we help seniors continue to buy groceries, go to medical appointments, and pick up prescriptions themselves.

- Moving Expenses

Sometimes a client wishes to move to a less expensive or more accessible apartment, but cannot afford the new security deposit or the cost of moving. By helping with this cost, we ultimately help create a more affordable and appropriate living situation for our clients. We recently helped

a 70-year-old woman move from an upstairs apartment to a downstairs unit. There was no elevator in the building and she was beginning to feel it was dangerous to continue going up and down the stairs. We paid for her security deposit (which she reimbursed us for when she got her deposit back from her previous apartment) and the cost of movers.

- **Short-Term Rental Assistance**

Sometimes seniors need help with rent for only a short period of time. We recently provided short-term rental assistance to a working 69-year-old woman who lost her job until she found another one the following month. We also provided three months of rental subsidies during the summer months to a 72-year-old man who drives a school bus for nine months of the year.

- **Rise-Lift Chairs:** We have given several grants for these chairs (which cost around \$1,500), that allow people with limited mobility get up from a seated position. For a senior who lives alone or with another elderly person, this type of technology can mean the difference between being able to live independently and having to move into an assisted living facility.

- **Other Needs:** We sometimes pay the recently paid a veterinarian bill for one of our recipients so that she could have her cat treated for a serious illness. The woman whom we assisted has no husband, children, or any family, and most of her friends have passed away. She is already depressed, and tells us that she only has her cats to live for.

4. **If this is a request for General Support, what are your organization's most pressing needs.**

N/A

5. **How do you plan to evaluate the effectiveness or impact of the project?**

Our foundation administrator (our only paid employee) interviews all our clients in advance of providing support. She speaks regularly with clients that receive monthly subsidies, and many of these clients also receive special grants. When she speaks with them, she asks them about the impact of the assistance we have given. Additionally, clients frequently give us feedback in the form of thank you notes and voice mail messages in which they express their appreciation for what we have given them. One of the men who has been receiving monthly rental subsidies for years calls us every month after he receives his check to thank us. Below is an example of a recent thank you note we received:

"I'm so grateful to you and the Alexander House Foundation for making it possible to move into a ground floor apartment. I deeply appreciate your financial help to make my last move in Carpinteria."

6. **Summarize the skills and relevant experience of key staff/volunteers...**

Mary Scaran, Administrator: Mary has been with Alexander House Foundation for 14 years, and through this experience has gained a tremendous amount of knowledge about the financial needs of low-income seniors. Mary also works professionally as a licensed acupuncturist.

Robert Renaud, Board President: Robert started as a volunteer with Alexander House in the 1970s, and has been a board member since 1987. He works as a CPA with a focus on estates, trusts, and tax planning. He co-founded two non-profits organizations, and has many years of experience doing management accounting services and auditing for non-profits.

7. **If full funding is not available through this request, how will you proceed? Please explain.**

If full funding is not available, we will continue to request funding from other sources. If we cannot fully meet our budget, we will not be able to increase the number of seniors to whom we provide financial assistance for medical expenses.

List of Attachments
Alexander House Foundation

- List of Board of Directors
- Program Budget
- Organizational Financial Summary
- Organizational Balance Sheet
- Tax Exemption Letter

Applicant name: Alexander House Foundation

Board of Directors/Governing Body

Name	City	Affiliation/Profession	Board Position	Member since
Robert Renaud	Santa Barbara	CPA	President	1987
Bernard Parent	Santa Barbara	Financial Advisor	Vice President and Treasurer	1981
Gerald Thede	Santa Barbara	Retired Attorney	Secretary	1975

How often does the Board / Governing Body meet? Monthly

Applicant Name: Alexander House Foundation

Program or Capital Budget

Note: This form is not required for general support grant requests

Program Name/Capital Request: Special Grants for Low-Income Seniors

Budget dates for grant period: July 1, 2012 - June 30, 2013

INCOME

Possible categories: Government grants, foundation grants, individuals, business support, events, fees for service, etc.

Source	Total Program(\$)	Pending (\$)	Secured (\$)	Notes
Endowment Distribution	\$ 20,000.00		\$ 20,000.00	
Outhwaite Foundation	\$ 25,000.00	\$ 25,000.00		
St. Francis Foundation	\$ 5,000.00	\$ 5,000.00		
Other Foundations	\$ 10,000.00	\$ 10,000.00		
TOTAL INCOME	\$ 60,000.00	\$ 40,000.00	\$ 20,000.00	

List the In-Kind (non-cash) contributions: Professional services

EXPENSES

Possible categories: Salaries, professional fees, rent and utilities, travel, publicity/outreach, events, etc.

Item	Total Program(\$)	This Request (\$)	Notes
Special Grants (general)	\$ 45,000.00		
Special Grants (medical and dental)	\$ 15,000.00	\$ 5,000.00	Medical expenses
TOTAL EXPENSES	\$ 60,000.00	\$ 5,000.00	
Net Profit (Loss)	\$ -		

Applicant Name: Alexander House Foundation

Organization Financial Summary

Organization Name: Alexander House Foundation

Fiscal Year Dates: July 1 - June 30

INCOME

Possible categories: Government grants, foundation grants, individuals, business support, events, fees for service, etc.

Source	Prior Year's Actual (\$) 30-Jun-12	Projected Annual Budget 2012-13	YTD Actual (\$) Sept. 30.2012
Endowment Distribution	\$ 108,412	\$ 131,000	\$ 21,089
Capital Gains	\$ 157,534	\$ 158,246	\$ 11,049
Fund Balance Decrease	\$ 19,902	\$ -	
Outhwaite Foundation	\$ -	\$ 25,000	
Other Foundations	\$ -	\$ 10,000	
Misc.	\$ 146	\$ -	
TOTAL INCOME	\$ 285,994	\$ 324,246	\$ 32,138

List the In-Kind (non-cash) contributions: N/A

EXPENSES

Possible categories: Salaries, professional fees, rent and utilities, travel, publicity/outreach, events, etc.

Item	Prior Year's Actual (\$) 30-Jun-12	Annual Budget (\$) 2012-13	YTD Actual (\$) Sept. 30.2012
Rental Subsidies	\$ 200,862	\$ 200,000	\$ 52,870
Special Grants	\$ 24,970	\$ 60,000	\$ 2,792
Investment Management	\$ 23,347	\$ 26,000	\$ 7,364
Insurance	\$ 2,320	\$ 2,500	
Legal and Accounting	\$ 3,070	\$ 2,600	\$ 1,665
Travel and Parking	\$ 477	\$ 500	\$ 96
Salaries and Benefits	\$ 29,221	\$ 29,946	\$ 7,140
Taxes and Licenses	\$ 70	\$ 70	
Office Supplies	\$ 714	\$ 700	\$ 45
Phone and Utilities	\$ 943	\$ 950	\$ 202
Misc.		\$ 980	
TOTAL EXPENSES	\$ 285,994	\$ 324,246	\$ 72,174
NET PROFIT OR LOSS	\$ -	\$ -	\$ (40,036.00)

Total Capital Expenses	\$	\$	\$
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i.e., computers, vehicles, building improvements, etc:

Explanatory Notes: If we receive funding from foundations we will not have to draw from our principal next year. Our YTD loss is covered by our reserves.

Applicant Name: Alexander House Foundation

Organization Balance Sheet Summary

Note: Check with each foundation to see if this form is required.

	MOST CURRENT (\$)	PRIOR YEAR CLOSE (\$)
ASSETS	30-Sep-12	30-Jun-12
Current Assets		
Cash and Equivalents	\$ 154,407.00	\$ 125,784.00
Accounts Receivable		
Prepaid Expenses		
Inventory		
Grants/Pledges Receivable		
Other:		
Fixed assets (Net)		
Property		
Buildings		
Equipment		
Investments		
Endowments	\$ 3,090,705.00	\$ 3,159,320.00
Other:		
TOTAL ASSETS	\$ 3,245,112.00	\$ 3,285,104.00
LIABILITIES		
Current Liabilities		
Accounts Payable		
Accrued Expenses	\$ 1,938.00	\$ 1,893.00
Long Term Debt (Current Portion)		
Short Term Debt		
Other:		
Long Term Debt (over a year)		
Loan		
Other:		
TOTAL LIABILITIES	\$ 1,938.00	\$ 1,893.00
NET ASSETS	\$ 3,243,174.00	
Unrestricted		\$ 3,283,211.00
Temporarily Restricted		
Permanently Restricted		
TOTAL LIABILITIES		
AND NET ASSETS	\$ 3,243,174.00	\$ 3,285,104.00

Internal Revenue Service

Department of the Treasury

486

District
Director

P.O. Box ~~2350~~ Los Angeles, Calif. 90053

Person to Contact: Felicia C. Miraflor

Telephone Number: 213-894-4292

Refer Reply to: EO-87-168

Date: MAR 12 1987

Alexander House Foundation
c/o Helen McComb
P.O. Box 23642
Santa Barbara, CA 93121

RE: Alexander House Foundation

Dear Applicant:

This is in response to your request dated 1-2-87 to Fresno, regarding the determination letter of the above organization.

I'm sorry that we are unable to provide a copy of the determination letter.

However, our records indicate that Alexander House Foundation was held to be exempt from Federal income tax in August 1940 as an organization described in section 501(c)(3) of the Internal Revenue Code and classified as an organization that is not a private foundation as defined in section 509(a) of the Code, because, it is an organization described in section 509(a)(2).

The exemption letter issued in August 1940 continues to be in effect.

If you need any further assistance, please feel free to contact me at the above address.

Thank you for your cooperation.

Sincerely,

Felicia C. Miraflor
Felicia C. Miraflor
Disclosure Assistant